

**State Board of Elections
Campaign Finance Division
Memorandum to File**

To	File
From	Jane E. Steffens
Date	04/13/2017
Name of Committee	Dollar for House (J. Nelson Dollar)

The following reports were audited:

- 2004 Organizational
- 2004 Second, Third and Fourth Quarters
- 2005 Mid Year and Year End Semi Annual
- 2006 First, Second, Third and Fourth Quarters
- 2007 Mid Year and Year End Semi Annual
- 2008 First, Second, Third and Fourth Quarters
- 2009 Mid Year and Year End Semi Annual
- 2010 First, Second, Third and Fourth Quarters
- 2011 Mid Year and Year End Semi Annual
- 2012 First, Second, Third and Fourth Quarters
- 2013 Mid Year and Year End Semi Annual
- 2014 First, Second, Third and Fourth Quarters
- 2015 Mid Year and Year End Semi Annual
- 2016 First, Second, Third and Fourth Quarters

A very minimal review was performed on reports from 2004 through 2012. This review was purely to identify any prohibited transactions. No prohibitions were noted on these reports.

A complete review was performed on reports from 2013 through 2016. The following issues were found:

- A number of PAC contributions appear to have exceeded the maximum contribution limit per election cycle. For some of the contributions, the overage had already been refunded and reported on a subsequent report, however the following were showing as over the limit, with no resolution:

Committee	Contributions	Election period	Comment
ORTHOCAROLINA PA PAC	05/09/14 \$2000 09/22/14 \$4000	05/07/14-12/31/14	\$900 over
MARQIM	04/10/16 \$2000 09/28/16 \$5000	03/16/16-12/31/16	\$1900.00 over

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SOUTHEASTERN RADIOLOGY ORG PAC	04/08/16 \$2000 09/28/16 \$5000	03/16/16-12/31/16	\$1900.00 over
WESTERN RADIOLOGISTS AND SURGEONS PAC	04/02/16 \$2000 10/10/16 \$5000	03/16/16-12/31/16	\$1900.00 over

All overage amounts were refunded to the committees in question, and were either recorded on the 2016 Fourth Quarter Report, or will be reported on the 2017 Mid Year Semi Annual Report.

- A contribution from Cigna PAC appears to have been received during a Legislative Session. The contribution in question was for \$400.00, and was reported as received on 07/24/14. The session covered from 05/14/14 to 08/20/14 – Rep. Dollar advises that although the check date was 07/24/14, this was received on 08/30/14, along with the attached letter. The date has been corrected on the 2014 Third Quarter Report.
- A number of addresses and occupations are either missing or incomplete on reports. A committee is required to make best efforts to obtain this information – Rep. Dollar provided a spreadsheet of additional information that he was able to obtain, and this additional information has been added to the committee data files.
- Contributions made by Merck Pac of \$300.00 on 03/05/14 and Stam for House of \$100.00 made on 04/14/14 were not reported as received by the committee – after a search of the committee records Rep. Dollar advised that these two contributions should be added. They have been added to the 2014 First Quarter Report, as they were received on 03/11/14 and 04/14/14, and this report ended on 04/19/14.
- A number of mathematical errors were found on reports. - Rep. Dollar has checked all of the following and advises that changes can be made to the reports.
 - 2013 Mid Year Semi Annual Report – Contributions from Individuals page 5 appears to be a duplicate of page 4.
 - 2013 Year End Semi Annual Report – Contributions from Individuals page 15 reports contributions from Fred Mills Sr. of \$1,000.00 and \$720.00; however, only the \$1,000.00 contribution is included in the page total.
 - 2014 Third Quarter Report – Contributions from Individuals page 2 shows a page total of \$1,130.00; however, transactions on the page add to \$1,630.00.
- Contributions were reported by Carolinas AGC Inc PAC, Greater Hickory Anesthesiologists PAC and NC Veterinary Medical PAC; however, these contributions were not reported as received by the committee – Rep. Dollar advises he can find no evidence that these three contributions were received. Conversations with the PACs in question determined that none of these checks had cleared the PAC bank accounts. The PACs will show these checks as refunds on their next reports.

The following contacts were made with Representative Dollar:

- 12/07/2016 – 2x telephone calls made
- 12/07/2016 – telephone call received
- 12/08/2016 – telephone call received
- 12/08/2016 – email sent
- 02/13/2017 – 2x emails sent
- 02/13/2017 – email sent
- 03/09/2017 – email sent
- 03/12/2017 – email received
- 03/17/2017 – telephone call received
- 04/10/2017 – email received
- 04/12/2017 – email sent

Statement of Conclusion

The review of this committee's reports is complete through the 2016 Third Quarter Report.

Jeffrey E. Tindall
Regulatory & State Government Affairs Director



August 27, 2014

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Dollar for House
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Cary, NC 27512

Dear Representative Dollar:

On behalf of the Cigna PAC, it is our pleasure to provide your campaign with the enclosed contribution of support. We appreciate your leadership in the General Assembly and thank you for working with industry on issues of mutual interest.

We wish you a successful campaign season and returning to the General Assembly in 2015.

Sincerely,

cc: George Teague, Nelson Mullins

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